

Software Engineering

Unit–1: Introduction to Software Engineering

1. What is Software Engineering?

Definition

Software Engineering is the systematic, disciplined, and organized approach used to develop, operate, maintain, and manage software.

According to the Fritz Bauer definition:

“Software Engineering is the establishment and use of sound engineering principles in order to obtain economically developed software that is reliable and works efficiently on real machines.”

Explanation

Software engineering applies engineering principles to software development just like civil engineering is used for building bridges.

It includes:

- Planning
- Designing
- Coding
- Testing
- Maintenance
- Documentation

Objectives of Software Engineering

1. Develop high-quality software
2. Reduce development cost
3. Complete projects on time
4. Improve maintainability
5. Increase customer satisfaction

Characteristics of Software Engineering

- Systematic approach
- Team-oriented development
- Documentation based
- Focus on quality
- Uses tools and methodologies

2. History of Software Engineering

Early Stage (1950s–1960s)

- Programs were small and simple.
- Coding was done without planning.
- Developers used machine language and assembly language.

Software Crisis (1968)

As software systems became large:

- Projects failed
- Cost increased
- Delivery became late
- Errors increased

This problem was called the **Software Crisis**.

The term “Software Engineering” was introduced during the NATO Software Engineering Conference to solve these problems.

Modern Era

Today software engineering uses:

- Agile methods
 - Automation tools
 - Cloud computing
 - DevOps
 - AI-assisted development
-

3. Software Crisis

Definition

Software Crisis refers to the difficulties faced in developing software systems because of increasing complexity and poor development methods.

Causes of Software Crisis

1. Increase in software size
2. Poor project management
3. Lack of documentation
4. Improper testing
5. Changing user requirements
6. Lack of skilled developers

Problems Created

- Late delivery
- Budget overrun
- Low-quality software
- Difficult maintenance
- Unreliable systems

Solution to Software Crisis

Software engineering principles were introduced:

- SDLC models
 - Proper planning
 - Testing methods
 - Documentation
 - Project management
-

4. Evolution of a Programming System Product

Definition

Evolution of programming systems means the gradual improvement of software from simple programs to complex software products.

Stages of Evolution

(i) Initial Program

- Written by one programmer
- Small and simple
- No documentation

(ii) Programming Product

- Properly tested
- Well documented
- Usable by others

(iii) Programming System

- Collection of interacting programs
- Supports multiple users and functions

(iv) Programming System Product

- Combination of product quality and system capability
- Commercial software systems

Example

Operating systems like Microsoft Windows and Linux are programming system products.

5. Product and Characteristics of Software

Software Product

A software product is a complete software package delivered to customers with documentation and support.

Types of Software Products

1. Generic Products
Example: MS Office
 2. Customized Products
Example: Banking software developed for a specific bank
-

Characteristics of Software

1. Software is Developed, Not Manufactured

Software is created through coding and design.

2. Software Does Not Wear Out

Hardware gets damaged physically, but software becomes outdated due to changes.

3. Software is Intangible

Software cannot be touched physically.

4. Software is Complex

Large software contains many modules and interactions.

5. Software Requires Maintenance

Changes and updates are regularly needed.

6. Software is Easy to Copy

A software product can be duplicated at low cost.

6. Brooks' No Silver Bullet

Definition

Fred Brooks stated in his famous paper **"No Silver Bullet"** that:

No single technology or method can solve all software development problems completely.

Main Idea

Software development complexity cannot be removed entirely by:

- New programming languages
- Better tools
- Automation

Essential Difficulties

1. Complexity
2. Conformity
3. Changeability
4. Invisibility

Accidental Difficulties

Problems caused by tools or implementation methods.

Conclusion

There is no magical solution (“silver bullet”) to increase software productivity drastically.

7. Software Myths

Definition

Software myths are false beliefs about software development.

Types of Software Myths

(i) Management Myths

Example:

- “If a project is late, adding more programmers will help.”

Reality:

- New programmers need training, making projects slower initially.
-

(ii) Customer Myths

Example:

- “Requirements can change anytime without affecting cost.”

Reality:

- Requirement changes increase cost and time.
-

(iii) Developer Myths

Example:

- “Once the program works, the job is done.”

Reality:

- Testing, maintenance, and documentation are also important.
-

8. Software Development Life Cycle (SDLC)

Definition

SDLC is a structured process used to develop software systematically.

Phases of SDLC

1. Requirement Analysis
 2. System Design
 3. Coding
 4. Testing
 5. Deployment
 6. Maintenance
-

9. Software Development Process

Definition

A software development process is a set of activities used to develop software.

Main Activities

1. Specification
 2. Design and Implementation
 3. Validation
 4. Evolution
-

10. Code-and-Fix Model

Definition

A simple software development approach where coding starts immediately without planning.

Process

- Write code
- Fix errors repeatedly

Advantages

- Simple
- Suitable for very small projects

Disadvantages

- Poor quality
 - Difficult maintenance
 - No documentation
-

11. Waterfall Model

Definition

A linear sequential SDLC model where one phase must complete before the next begins.

Phases

1. Requirement Analysis
2. Design
3. Implementation
4. Testing
5. Deployment
6. Maintenance

Diagram

Requirement Analysis → Design → Coding → Testing → Maintenance

Advantages

- Simple and easy
- Good documentation

Disadvantages

- Difficult to change requirements
 - Not suitable for large dynamic projects
-

12. Evolutionary Model

Definition

Software is developed gradually with continuous user feedback.

Features

- Incremental development
- Frequent improvements

Advantages

- Flexible
- Better customer satisfaction

Disadvantages

- Difficult management
 - Requires continuous feedback
-

13. Incremental Implementation Model

Definition

Software is developed in small increments or modules.

Example

A banking system may release:

- Login module first
- Transaction module later
- Loan module afterward

Advantages

- Faster delivery
- Easier testing

Disadvantages

- Requires careful planning
-

14. Prototyping Model

Definition

A prototype (sample version) is developed before the final software.

Types

1. Throwaway Prototype
2. Evolutionary Prototype

Advantages

- Better understanding of requirements
- User involvement

Disadvantages

- May increase development cost
-

15. Spiral Model

Definition

The Spiral Model combines iterative development with risk analysis.

Developed by Barry Boehm.

Phases

1. Planning
2. Risk Analysis
3. Engineering
4. Evaluation

Diagram

Planning → Risk Analysis → Engineering → Evaluation

Advantages

- Risk management
- Suitable for large projects

Disadvantages

- Expensive
 - Complex management
-

16. Software Reuse

Definition

Using existing software components to develop new software.

Types

1. Code reuse
2. Component reuse
3. Framework reuse

Advantages

- Saves time
- Reduces cost
- Improves reliability

Disadvantages

- Compatibility issues
 - Licensing problems
-

17. Critical Comparison of SDLC Models

Model	Advantages	Disadvantages	Suitable For
Code-and-Fix	Simple	Poor quality	Very small projects
Waterfall	Easy management	No flexibility	Stable requirements
Evolutionary	Flexible	Difficult control	Changing requirements
Incremental	Faster delivery	Planning required	Medium projects
Prototype	Better understanding	Extra cost	Unclear requirements
Spiral	Risk handling	Expensive	Large critical systems

18. Rational Unified Process (RUP)

Definition

RUP is an iterative software development process framework developed by IBM.

Phases

1. Inception
2. Elaboration
3. Construction
4. Transition

Features

- Iterative development
- Risk management
- Use-case driven

Advantages

- High-quality software
- Controlled development

Disadvantages

- Complex process
 - Requires expertise
-

19. Rapid Application Development (RAD)

Definition

RAD focuses on quick software development using reusable components and user feedback.

Features

- Fast development
- Prototype-based

- User involvement

Advantages

- Reduced development time
- Faster delivery

Disadvantages

- Requires skilled team
 - Not suitable for large projects
-

20. Agile Development Process**Definition**

Agile is a modern software development methodology focusing on flexibility, collaboration, and continuous delivery.

Agile Principles

1. Customer collaboration
2. Continuous improvement
3. Small releases
4. Team communication

Popular Agile Methods

- Scrum
- Extreme Programming (XP)
- Kanban

Advantages

- Fast delivery
- Flexible changes
- Better customer satisfaction

Disadvantages

- Requires active customer involvement
 - Difficult documentation
-

Conclusion

Software Engineering provides systematic methods for developing reliable and efficient software. Different SDLC models are used according to project requirements. Modern approaches like Agile and RAD focus on flexibility and faster delivery, while traditional models like Waterfall focus on structured development.

Unit – 2: Requirements Engineering and Requirement Analysis

1. Importance of Requirement Analysis

Definition

Requirement Analysis is the process of understanding, identifying, analyzing, and documenting the needs and expectations of users for a software system.

It is one of the most important phases in Software Engineering because incorrect requirements lead to project failure.

Importance of Requirement Analysis

1. Understanding User Needs

Requirement analysis helps developers understand what users actually want from the system.

2. Reduces Development Errors

Clear requirements reduce mistakes during coding and testing.

3. Saves Time and Cost

Fixing errors in the requirement phase is cheaper than fixing them after development.

4. Improves Software Quality

Correct requirements help build reliable and efficient software.

5. Better Communication

It creates proper communication between:

- Customers
- Developers
- Testers
- Managers

6. Basis for Design and Testing

All software design and test cases depend on requirements.

2. User Needs

Definition

User needs are the expectations, goals, and problems users want the software system to solve.

Types of User Needs

1. Business Needs

Related to organizational goals.

Example:

- A bank wants secure online transactions.

2. User Needs

Related to actual users.

Example:

- Customers want fast money transfer.

3. Operational Needs

Related to system operation.

Example:

- System should work 24x7.
-

Characteristics of Good User Needs

- Clear
 - Complete
 - Understandable
 - Consistent
 - Feasible
-

3. Software Features and Software Requirements

A. Software Features

Definition

Software features are the visible functions or services provided by software to users.

Examples

- Login system
 - Online payment
 - Search functionality
 - Report generation
-

B. Software Requirements

Definition

Software requirements describe what the software should do and the constraints under which it should operate.

Difference Between Features and Requirements

Feature	Requirement
Visible capability	Detailed technical description
User-oriented	Developer-oriented
High level	Detailed specification

4. Classes of User Requirements

User requirements are divided into two classes:

A. Enduring Requirements

Definition

Requirements that remain stable for a long time.

Examples

- Security
- Data backup
- User authentication

Characteristics

- Stable
 - Long-term
 - Essential
-

B. Volatile Requirements

Definition

Requirements that change frequently with time.

Examples

- UI design
- Business policies
- Government regulations

Types of Volatile Requirements

1. Mutable Requirements
2. Emergent Requirements
3. Consequential Requirements
4. Compatibility Requirements

Comparison

Enduring Requirements Volatile Requirements

Stable	Frequently changing
Long-term	Temporary
Less modification	More modification

5. Sub Phases of Requirement Analysis

Requirement Analysis consists of several sub-phases.

1. Requirement Gathering

Collecting information from users and stakeholders.

Methods:

- Interviews
- Surveys
- Observation

2. Requirement Elicitation

Extracting actual requirements from gathered information.

3. Requirement Analysis and Negotiation

Analyzing conflicts and prioritizing requirements.

4. Requirement Specification

Documenting requirements formally in SRS.

5. Requirement Validation

Checking whether requirements are correct and complete.

Requirement Analysis Flow

Requirement Gathering → Elicitation → Analysis → Specification → Validation

6. Functional and Nonfunctional Requirements

A. Functional Requirements

Definition

Functional requirements describe what the system should do.

Examples

- User login

- Ticket booking
- Data search
- Report generation

Characteristics

- Describe system behavior
- Directly related to functions

B. Nonfunctional Requirements

Definition

Nonfunctional requirements describe how the system performs its functions.

Examples

- Security
- Performance
- Reliability
- Scalability

Types

1. Performance Requirements
2. Security Requirements
3. Reliability Requirements
4. Usability Requirements
5. Portability Requirements

Comparison

Functional Requirements	Nonfunctional Requirements
What system does	How system performs
Features/functions	Quality attributes
Easier to measure	Difficult to measure

7. Barriers to Eliciting User Requirements

Definition

Barriers are problems faced while collecting user requirements.

Common Barriers

1. Communication Gap

Users and developers may not understand each other.

2. Unclear Requirements

Users may not clearly explain their needs.

3. Changing Requirements

Requirements may change frequently.

4. Lack of User Participation

Users may not cooperate actively.

5. Technical Complexity

Complex systems are difficult to explain.

6. Time Constraints

Limited time affects proper requirement gathering.

8. Software Requirements Document (SRD)

Definition

A Software Requirements Document contains all software requirements in written form. It acts as an agreement between customer and developer.

Contents of SRD

1. Introduction
 2. Purpose
 3. Scope
 4. Functional Requirements
 5. Nonfunctional Requirements
 6. Constraints
 7. Assumptions
-

9. SRS (Software Requirement Specification)

Definition

SRS is a formal document that describes complete software requirements in detail. IEEE provides standard formats for SRS documentation.

IEEE SRS Standard Sections

1. Introduction

- Purpose
- Scope
- Definitions

2. Overall Description

- Product perspective
- Product features
- User characteristics

3. Specific Requirements

- Functional requirements
- Nonfunctional requirements

4. External Interface Requirements

- Hardware interface
- Software interface

5. Performance Requirements

6. Design Constraints

Characteristics of Good SRS

1. Correct
 2. Complete
 3. Consistent
 4. Verifiable
 5. Modifiable
 6. Traceable
-

10. Requirements Engineering

Definition

Requirements Engineering is the systematic process of:

- Collecting
 - Analyzing
 - Documenting
 - Validating
 - Managing requirements
-

Activities of Requirements Engineering

1. Feasibility Study
 2. Requirement Elicitation
 3. Requirement Analysis
 4. Requirement Specification
 5. Requirement Validation
 6. Requirement Management
-

Requirements Engineering Process

*Feasibility Study → Elicitation → Analysis → Specification → Validation
→ Management*

11. Case Study of SRS for a Real-Time System

Example: Online Railway Reservation System

Purpose

To provide online train ticket booking services.

Functional Requirements

1. User registration
 2. Ticket booking
 3. Ticket cancellation
 4. Seat availability checking
 5. Payment processing
-

Nonfunctional Requirements

1. High security
 2. Fast response time
 3. 24x7 availability
 4. Database backup
-

External Interface Requirements

- Web browser interface
 - Payment gateway interface
-

Constraints

- Internet connection required
 - Secure payment standards must be followed
-

12. Tools for Requirements Gathering

Requirement gathering tools help analyze and represent system requirements.

A. Document Flow Chart

Definition

A document flowchart shows movement of documents and information between departments or processes.

Uses

- Understand workflow
 - Detect delays
 - Improve communication
-

Example Flow

Customer Request → Processing Department → Approval → Database Update

B. Decision Table

Definition

A decision table is a tabular representation of conditions and corresponding actions.

Structure

1. Conditions
 2. Actions
 3. Rules
-

Example

Condition	Rule 1	Rule 2
Valid Login	Yes	No
Action	Allow Access	Deny Access

Advantages

- Easy to understand
 - Handles complex logic
-

C. Decision Tree

Definition

A decision tree is a graphical representation of decisions and possible outcomes.

Features

- Uses branches
 - Represents logical conditions
-

Example

Login Valid? → Yes : Access Granted, No : Access Denied

Advantages

- Simple visualization
 - Easy decision-making
-

13. Introduction to Nontraditional Requirements

Definition

Nontraditional requirements refer to modern and advanced requirements beyond basic functional needs.

Examples

1. Cloud Compatibility

Software should support cloud platforms.

2. Mobile Accessibility

Applications should work on mobile devices.

3. AI Integration

Software may include artificial intelligence features.

4. Scalability

System should handle increasing users.

5. Cybersecurity

Protection against cyber threats.

6. Sustainability Requirements

Energy-efficient software systems.

Conclusion

Requirement Analysis and Requirements Engineering are the foundation of successful software development. Proper understanding of user requirements helps reduce errors, improve software quality, and ensure customer satisfaction. Tools like decision tables, decision trees, and flowcharts simplify requirement analysis and documentation.

Unit – 3: Software Design

1. Software Design

Definition

Software Design is the process of converting software requirements into a blueprint or model for software construction.

It defines:

- System architecture
- Components
- Modules
- Interfaces
- Data structures

Software design acts as a bridge between:

- Requirement Analysis
 - Coding/Implementation
-

2. Goals of Good Software Design

A good software design should achieve the following goals:

1. Correctness

The design should satisfy all user requirements.

Example

If the requirement is online payment, the design must support secure transactions.

2. Understandability

The design should be easy to understand.

Benefits

- Easier maintenance
 - Easier debugging
-

3. Efficiency

The software should use:

- Less memory
 - Less processing time
-

4. Maintainability

The design should allow easy modifications and updates.

5. Reusability

Components should be reusable in other systems.

6. Reliability

The software should work correctly without failure.

7. Flexibility

The system should adapt to future changes.

8. Modularity

Software should be divided into independent modules.

3. Design Strategies and Methodologies

Definition

Design strategies are techniques used to organize and develop software systems.

Types of Design Strategies

A. Function-Oriented Design

Definition

Focuses on system functions and processes.

Characteristics

- Uses DFD
 - Top-down approach
 - Structured design
-

B. Object-Oriented Design (OOD)

Definition

Focuses on objects, classes, and their interactions.

Characteristics

- Reusability
 - Encapsulation
 - Inheritance
-

Design Methodologies

1. Top-Down Methodology

Starts from the main system and divides it into smaller modules.

2. Bottom-Up Methodology

Starts from small modules and combines them into larger systems.

4. Data-Oriented Software Design

Definition

Data-oriented design focuses on data structure and flow rather than functions.

Features

- Data is central
 - Processes operate on data
 - Useful for database applications
-

Advantages

- Better data management
 - Easier database integration
-

Disadvantages

- Complex for large systems
-

5. Coupling

Definition

Coupling refers to the degree of interdependence between software modules. Lower coupling is preferred.

Types of Coupling

1. Content Coupling (Worst)

One module directly accesses another module's data.

2. Common Coupling

Multiple modules share global data.

3. Control Coupling

One module controls another by passing control information.

4. Stamp Coupling

Entire data structures are shared.

5. Data Coupling (Best)

Modules communicate using simple data parameters.

Coupling Quality Comparison

Quality of Coupling Types

Lower dependency between modules indicates better software design.

02468ContentCommonControlStampData

Advantages of Low Coupling

1. Easier maintenance
 2. Better reusability
 3. Reduced complexity
-

6. Cohesion

Definition

Cohesion refers to how strongly related the functions inside a module are.

Higher cohesion is preferred.

Types of Cohesion

1. Coincidental Cohesion (Worst)

Unrelated tasks in one module.

2. Logical Cohesion

Related logically but not functionally.

3. Temporal Cohesion

Tasks executed at the same time.

4. Procedural Cohesion

Tasks follow a sequence.

5. Communicational Cohesion

Tasks operate on the same data.

6. Sequential Cohesion

Output of one task becomes input to another.

7. Functional Cohesion (Best)

All tasks contribute to one specific function.

Cohesion Quality Comparison

Quality of Cohesion Types

Higher cohesion indicates stronger module organization.

02468CoincidentalLogicalTemporalProceduralCommunicationalSequentialFunctional

Advantages of High Cohesion

- Better readability
 - Easier testing
 - Better maintenance
-

7. Modular Structure

Definition

A modular structure divides software into separate independent modules.

Characteristics

- Each module performs a specific task
 - Modules interact through interfaces
-

Advantages

1. Easier debugging
 2. Better maintenance
 3. Parallel development
 4. Reusability
-

Example

A library management system may contain:

- Login module
 - Book module
 - Payment module
-

8. Packaging

Definition

Packaging is the grouping of related classes and modules into packages.

Used mainly in object-oriented programming.

Advantages

1. Better organization

2. Easier maintenance
3. Access control
4. Reusability

Example in Java

package banking;

9. Structured Analysis**Definition**

Structured Analysis is a method used to analyze system requirements using graphical tools.

Main Tools of Structured Analysis

1. DFD (Data Flow Diagram)
 2. Data Dictionary
-

10. Data Flow Diagram (DFD)**Definition**

DFD is a graphical representation of data movement through a system.

Components of DFD

Symbol	Meaning
Circle	Process
Arrow	Data Flow
Rectangle	External Entity
Open Rectangle	Data Store

Levels of DFD**1. Level 0 DFD (Context Diagram)**

Shows the entire system as one process.

2. Level 1 DFD

Shows major sub-processes.

Example Flow

Customer → Order Processing → Database → Invoice

Advantages of DFD

- Easy visualization
 - Better communication
 - Helps requirement analysis
-

11. Data Dictionary**Definition**

A Data Dictionary stores definitions and descriptions of data elements used in a system.

Contents

1. Data name
 2. Data type
 3. Description
 4. Size
 5. Constraints
-

Example

Data Element Description

Customer_ID Unique customer number

Password User authentication key

12. Structured Design

Definition

Structured Design converts DFD into software architecture and module structure.

Goals

- Reduce complexity
 - Improve modularity
 - Improve maintainability
-

13. Structure Chart

Definition

A structure chart is a hierarchical diagram showing relationships between modules.

Components

1. Module
 2. Data flow
 3. Control flow
-

Example Structure

Main Module → Login Module → Database Module

Advantages

- Clear hierarchy
 - Better modularity
 - Easier maintenance
-

14. Object-Oriented Design (OOD)

Definition

OOD is a software design method based on objects and classes.

Important Concepts

1. Class

Blueprint of objects.

2. Object

Instance of a class.

3. Encapsulation

Binding data and methods together.

4. Inheritance

Acquiring properties of another class.

5. Polymorphism

One interface with multiple forms.

Advantages of OOD

- Reusability
 - Flexibility
 - Easy maintenance
-

15. Top-Down and Bottom-Up Approach

A. Top-Down Approach

Definition

Design begins from the main module and divides into submodules.

Advantages

- Better system understanding
 - Good planning
-

Disadvantages

- Lower-level details delayed
-

B. Bottom-Up Approach

Definition

Design starts with small modules and integrates them.

Advantages

- Reusable modules
 - Easier testing
-

Disadvantages

- System structure may be unclear initially
-

Comparison

Top-Down	Bottom-Up
Starts from main system	Starts from small modules
Stepwise refinement	Module integration
Better overview	Better reuse

16. UML (Unified Modeling Language)

Definition

UML is a standard modeling language used to visualize, specify, and document software systems.

Developed by:

- Grady Booch
 - James Rumbaugh
 - Ivar Jacobson
-

Purpose of UML

- Visualize software
 - Simplify design
 - Improve communication
-

17. UML Diagrams

UML diagrams are divided into two categories:

A. Structural Diagrams

Examples

1. Class Diagram
 2. Object Diagram
 3. Component Diagram
 4. Deployment Diagram
-

B. Behavioral Diagrams

Examples

1. Use Case Diagram
 2. Sequence Diagram
 3. Activity Diagram
 4. State Diagram
-

Important UML Diagrams

1. Use Case Diagram

Shows interaction between users and system.

2. Class Diagram

Shows classes, attributes, and methods.

3. Sequence Diagram

Shows message flow between objects.

4. Activity Diagram

Represents workflow of activities.

UML Diagram Usage Distribution

Commonly Used UML Diagrams

Approximate usage distribution of UML diagram types in software design.

Activity Diagram

Class Diagram
Sequence Diagram
Use Case Diagram

18. Design Patterns

Definition

Design patterns are reusable solutions to common software design problems. Introduced by the Gang of Four.

Categories of Design Patterns

1. Creational Patterns

Deal with object creation.

Examples

- Singleton
 - Factory Method
-

2. Structural Patterns

Deal with class and object structure.

Examples

- Adapter
 - Decorator
-

3. Behavioral Patterns

Deal with communication between objects.

Examples

- Observer
 - Strategy
-

Advantages of Design Patterns

1. Reusable solutions
 2. Better software structure
 3. Reduced development time
 4. Easier maintenance
-

Conclusion

Software Design is a critical phase in software engineering that transforms requirements into a structured solution. Concepts like coupling, cohesion, modularity, UML diagrams, and design patterns help developers create reliable, maintainable, and efficient software systems.

Unit – 4: Software Project Management

1. Software Project Management

Definition

Software Project Management is the process of planning, organizing, directing, and controlling software projects to achieve specific goals within:

- Time
- Cost
- Quality

It ensures successful software development and delivery.

Objectives of Software Project Management

1. Complete project on time
 2. Complete project within budget
 3. Maintain software quality
 4. Manage risks effectively
 5. Improve team coordination
-

2. Overview of Project Manager Responsibilities

Definition

A Project Manager is the person responsible for managing the entire software project.

Main Responsibilities of a Project Manager

1. Project Planning

Preparing project plans, schedules, and strategies.

2. Team Management

Managing developers, testers, and designers.

3. Resource Management

Allocating:

- Hardware
 - Software
 - Human resources
-

4. Cost Management

Controlling project budget and expenses.

5. Risk Management

Identifying and reducing project risks.

6. Communication

Maintaining communication with:

- Clients
 - Team members
 - Management
-

7. Quality Assurance

Ensuring high-quality software development.

8. Scheduling

Monitoring deadlines and milestones.

Skills Required for Project Manager

1. Leadership
 2. Communication
 3. Technical knowledge
 4. Decision-making
 5. Problem-solving
-

3. Project Planning

Definition

Project Planning is the process of deciding:

- What to do
 - How to do
 - When to do
 - Who will do
-

Activities of Project Planning

1. Requirement analysis
 2. Effort estimation
 3. Cost estimation
 4. Scheduling
 5. Resource allocation
 6. Risk planning
-

Project Planning Flow

*Requirement Analysis → Estimation → Scheduling → Resource Allocation
→ Risk Management*

Advantages of Project Planning

- Better control
 - Reduced risk
 - Proper resource utilization
 - Timely completion
-

4. Software Measurement and Metrics

Definition

Software metrics are quantitative measures used to evaluate software processes, products, and projects.

Importance of Software Metrics

1. Improve quality
2. Estimate cost and effort
3. Measure productivity

4. Track project progress

Types of Software Metrics

1. Product Metrics
2. Process Metrics
3. Project Metrics

5. Line of Code (LOC)

Definition

LOC measures software size based on the number of lines of source code.

Formula

$$LOC = \text{Total Number of Source Code Lines}$$

Example

Module	LOC
Login Module	500
Payment Module	800
Report Module	700
Total LOC =	2000

Advantages of LOC

1. Easy to calculate
2. Useful for productivity measurement

Disadvantages of LOC

1. Language dependent
2. Cannot measure quality
3. Difficult in early stages

6. Function Point (FP) Based Measures

Definition

Function Point measures software size based on functionality provided to users. Developed by Allan Albrecht.

Components of FP

1. Inputs
2. Outputs
3. User inquiries
4. Files
5. External interfaces

Formula

$$FP = UFP \times CAF$$

Where:

- UFP = Unadjusted Function Points

- CAF = Complexity Adjustment Factor

Advantages of FP

1. Language independent
2. Better early estimation
3. User-oriented

Disadvantages of FP

1. Complex calculation
2. Requires expertise

LOC vs Function Point

LOC vs Function Point Comparison

Comparison of important characteristics of LOC and Function Point metrics.

02468LOCFunction Point

7. Various Size-Oriented Measures

Definition

Size-oriented measures estimate software size using different parameters.

Examples:

- LOC
- Function Points
- Object Points

Importance

- Project estimation
- Productivity analysis
- Cost calculation

8. Halstead's Software Science

Definition

Halstead's Software Science is a software metric developed by Maurice Halstead to measure software complexity.

Basic Parameters

Symbol Meaning

n1	Number of unique operators
n2	Number of unique operands
N1	Total operators
N2	Total operands

Important Formulas

Program Length

$$N = N_1 + N_2$$

Program Vocabulary

$$n = n_1 + n_2$$

Program Volume

$$V = N \log_2 n$$

Advantages

- Measures complexity
 - Helps effort estimation
-

Disadvantages

- Mathematical complexity
 - Difficult for large systems
-

9. Project Size Estimation Metrics**Definition**

Project size estimation predicts the size of software before development.

Common Metrics

1. LOC
 2. Function Points
 3. Object Points
 4. Use Case Points
-

Importance

- Cost estimation
 - Resource allocation
 - Scheduling
-

10. Project Estimation Techniques**Definition**

Project estimation techniques predict:

- Time
 - Cost
 - Effort
 - Resources
-

Techniques**1. Expert Judgment**

Experienced experts estimate project size.

2. Analogy-Based Estimation

Uses previous similar projects.

3. Algorithmic Estimation

Uses mathematical models.

Example:

- COCOMO

4. Top-Down Estimation

Estimate whole project first.

5. Bottom-Up Estimation

Estimate individual modules first.

11. COCOMO Model

Definition

COCOMO (Constructive Cost Model) is an algorithmic software cost estimation model developed by Barry Boehm.

Types of COCOMO

1. Basic COCOMO
 2. Intermediate COCOMO
 3. Detailed COCOMO
-

Software Project Categories

Type	Characteristics
Organic	Small simple projects
Semi-detached	Medium complexity
Embedded	Complex real-time systems

Basic COCOMO Formula

Effort Estimation

$$Effort = a(KLOC)^b$$

Development Time

$$Time = c(Effort)^d$$

Advantages of COCOMO

1. Scientific estimation
 2. Easy cost prediction
-

Disadvantages

1. Requires accurate input
 2. Less suitable for modern agile projects
-

12. Staffing Level Estimation

Definition

Staffing estimation determines the number of people required for the project.

Factors Affecting Staffing

1. Project size
2. Project complexity
3. Schedule constraints

4. Team experience

Rayleigh Curve Concept

Staffing usually:

- Starts low
 - Increases during development
 - Decreases after testing
-

Staffing Distribution Example

Typical Staffing Level During Software Development

Software staffing generally rises during development and decreases near completion.

051015PlanningDesignDevelopmentTestingMaintenance

13. Scheduling

Definition

Scheduling is the process of assigning project activities to time periods.

Objectives of Scheduling

1. Timely completion
 2. Better resource utilization
 3. Progress tracking
-

Scheduling Techniques

1. Gantt Chart

Bar chart showing task duration.

2. PERT Chart

Program Evaluation and Review Technique.

3. CPM

Critical Path Method.

Scheduling Flow

Task Identification → Time Estimation → Resource Allocation → Monitoring

14. Organization and Team Structures

Definition

Team structure defines how project members are organized.

Types of Team Structures

1. Democratic Decentralized (DD)

- Group decision-making
 - Open communication
-

2. Controlled Decentralized (CD)

- Leader controls major decisions
-

3. Controlled Centralized (CC)

- Strong central authority
-

Comparison

Structure Communication Decision Making

DD	Open	Group
CD	Mixed	Shared
CC	Limited	Manager

15. Staffing

Definition

Staffing is the process of recruiting and managing project personnel.

Staffing Activities

1. Recruitment
 2. Training
 3. Team formation
 4. Performance evaluation
-

Characteristics of Good Team Members

1. Technical skills
 2. Communication
 3. Teamwork
 4. Creativity
-

16. Risk Management

Definition

Risk Management is the process of identifying, analyzing, and controlling project risks.

Types of Risks

1. Project Risks

- Schedule delay
 - Budget problems
-

2. Technical Risks

- Technology failure
 - Design issues
-

3. Business Risks

- Market changes
 - Customer issues
-

Risk Management Process

1. Risk Identification

Finding possible risks.

2. Risk Analysis

Studying impact and probability.

3. Risk Planning

Preparing solutions.

4. Risk Monitoring

Tracking risks continuously.

Risk Management Cycle

Risk Identification → Risk Analysis → Risk Planning → Risk Monitoring

Advantages of Risk Management

1. Reduces uncertainty
 2. Improves project success
 3. Prevents major failures
-

Conclusion

Software Project Management helps manage software projects efficiently through planning, estimation, scheduling, staffing, and risk management. Metrics such as LOC, Function Point, and COCOMO help estimate project size and effort, while effective scheduling and risk handling ensure successful software delivery.

Unit – 5: Software Development and Testing

1. Software Development

Definition

Software Development is the process of designing, coding, testing, and maintaining software applications.

It converts software design into executable programs.

Main Activities in Software Development

1. Selecting a programming language
 2. Writing code
 3. Following coding guidelines
 4. Documentation
 5. Testing
 6. Debugging
-

2. Selecting a Language

Definition

Selecting a programming language means choosing the most suitable language for software development.

Factors Affecting Language Selection

1. Application Type

Different applications require different languages.

Application	Suitable Language
Web Development	JavaScript, PHP
System Software	C, C++
Mobile Apps	Kotlin, Swift
AI Applications	Python

2. Performance Requirements

Fast systems may require low-level languages.

3. Platform Support

Language should support required operating systems.

4. Developer Expertise

Team familiarity with language matters.

5. Security Requirements

Some languages provide better security features.

Advantages of Proper Language Selection

- Better performance
- Easier maintenance
- Faster development
- Improved security

3. Coding Guidelines

Definition

Coding guidelines are rules and standards followed while writing source code.

Objectives of Coding Guidelines

1. Improve readability
 2. Reduce errors
 3. Simplify maintenance
 4. Maintain consistency
-

Common Coding Guidelines

1. Meaningful Variable Names

Good Example

```
int studentCount;
```

Bad Example

```
int x;
```

2. Proper Indentation

```
if(age > 18){  
    System.out.println("Eligible");  
}
```

3. Use Comments

```
# Calculate total marks
```

4. Avoid Complex Logic

Keep code simple and modular.

Advantages of Coding Standards

- Easier debugging
 - Better teamwork
 - Improved software quality
-

4. Writing Code

Definition

Writing code is the implementation phase where programmers convert design into source code.

Steps in Coding

1. Understand requirements
 2. Select algorithms
 3. Write code
 4. Compile and execute
 5. Test and debug
-

Characteristics of Good Code

1. Readable
 2. Efficient
 3. Reusable
 4. Secure
 5. Maintainable
-

Coding Process

*Requirement Understanding → Algorithm Design → Coding → Testing
→ Debugging*

5. Code Documentation

Definition

Code documentation explains the functionality and logic of the code.

Types of Documentation

1. Internal Documentation

Comments inside source code.

2. External Documentation

Separate manuals and technical documents.

Advantages of Documentation

1. Easier maintenance
 2. Better understanding
 3. Simplifies future updates
-

Example

```
// Function to calculate sum
```

6. Testing Process

Definition

Software Testing is the process of checking software to identify defects and verify correctness.

Objectives of Testing

1. Detect errors
 2. Ensure quality
 3. Verify requirements
 4. Improve reliability
-

Testing Process Steps

1. Test Planning
 2. Test Case Design
 3. Test Execution
 4. Defect Reporting
 5. Retesting
-

Testing Process Flow

*Test Planning → Test Case Design → Test Execution → Defect Reporting
→ Retesting*

7. Design of Test Cases

Definition

A test case is a set of inputs, conditions, and expected outputs used to test software.

Components of Test Case

1. Test Case ID
 2. Input Data
 3. Expected Output
 4. Actual Output
 5. Result Status
-

Characteristics of Good Test Cases

- Simple
 - Clear
 - Reusable
 - Complete
-

Example

Test Case	Input	Expected Output
Login Test	Correct password	Login successful

8. Functional Testing

Definition

Functional testing checks whether software functions according to requirements. It is also called **Black Box Testing**.

Types of Functional Testing

1. Boundary Value Analysis
 2. Equivalence Class Testing
 3. Decision Table Testing
 4. Cause Effect Graphing
-

A. Boundary Value Analysis (BVA)

Definition

Tests boundary values where errors commonly occur.

Example

If valid age range is 18–60:

Test values:

- 17
- 18
- 19
- 59

- 60
- 61

Advantages

- Detects edge-case errors
- Reduces test cases

B. Equivalence Class Testing**Definition**

Input data is divided into equivalent groups (classes).
Only one value from each class is tested.

Example

Age field:

- Invalid: Below 18
- Valid: 18–60
- Invalid: Above 60

Advantages

- Reduces redundant testing
- Saves time

C. Decision Table Testing**Definition**

Decision tables represent combinations of conditions and actions.

Example**Username Valid Password Valid Result**

Yes	Yes	Login Success
Yes	No	Error
No	Yes	Error
No	No	Error

Advantages

- Handles complex business rules

D. Cause Effect Graphing**Definition**

Cause-effect graphing shows relationships between inputs (causes) and outputs (effects).

Example

Cause:

- Valid password

Effect:

- Access granted
-

Advantages

- Better logical testing
 - Identifies combinations
-

9. Structural Testing

Definition

Structural testing checks the internal structure and logic of the code. It is also called **White Box Testing**.

Types

1. Path testing
 2. Loop testing
 3. Condition testing
-

Advantages

- Detects logical errors
 - Improves code quality
-

10. Cyclomatic Complexity Measures

Definition

Cyclomatic Complexity measures the complexity of a program by counting independent execution paths. Developed by Thomas McCabe.

Formula

$$V(G) = E - N + 2P$$

Where:

- E = Number of edges
 - N = Number of nodes
 - P = Number of connected components
-

Importance

- Measures complexity
 - Determines number of test cases
-

Complexity Levels

Complexity Risk

1–10	Low
11–20	Medium
Above 20	High

11. Control Flow Graph (CFG)

Definition

CFG is a graphical representation of program control flow.

Components

1. Nodes → Statements
2. Edges → Flow paths

Example Flow

Start → Condition → True Path → End

Advantages

- Helps path testing
 - Calculates cyclomatic complexity
-

12. Path Testing**Definition**

Path testing ensures all independent paths in a program are tested.

Objectives

- Detect logical errors
 - Achieve complete path coverage
-

Steps

1. Draw CFG
 2. Calculate complexity
 3. Identify independent paths
 4. Design test cases
-

13. Data Flow Testing**Definition**

Data flow testing checks the lifecycle of variables:

- Definition
 - Usage
 - Deletion
-

Objective

Detect improper variable usage.

Example Errors

- Uninitialized variables
 - Unused variables
-

14. Mutation Testing**Definition**

Mutation testing evaluates test quality by introducing small code changes (mutations).

Purpose

Check whether test cases detect errors.

Example

Original:

if(a > b)

Mutated:

if(a < b)

Advantages

- Measures test effectiveness
-

Disadvantages

- Time-consuming
-

15. Unit Testing

Definition

Unit testing tests individual modules or functions independently.

Characteristics

- Smallest testing level
 - Usually performed by developers
-

Advantages

- Early error detection
 - Easier debugging
-

Example

Testing login function separately.

16. Integration Testing

Definition

Integration testing checks interaction between combined modules.

Types

1. Top-Down Integration

Testing starts from top modules.

2. Bottom-Up Integration

Testing starts from lower modules.

3. Big Bang Integration

All modules combined together.

Objectives

- Detect interface errors
 - Verify module interaction
-

17. System Testing

Definition

System testing tests the complete integrated software system.

Types

1. Performance testing
 2. Security testing
 3. Stress testing
 4. Recovery testing
-

Objectives

- Validate complete system
 - Ensure requirement satisfaction
-

18. Debugging

Definition

Debugging is the process of finding and fixing software defects.

Steps in Debugging

1. Identify error
 2. Locate cause
 3. Correct error
 4. Retest program
-

Types of Errors

Error Type	Description
Syntax Error	Grammar mistakes
Logical Error	Incorrect logic
Runtime Error	Error during execution

Debugging Flow

Error Detection → Error Localization → Error Correction → Retesting

19. Alpha and Beta Testing

A. Alpha Testing

Definition

Alpha testing is performed by developers or internal testers before software release.

Features

- Conducted in controlled environment
 - Detects major bugs
-

B. Beta Testing

Definition

Beta testing is performed by real users in a real environment before final release.

Features

- User feedback collection
 - Real-world testing
-

Comparison

Alpha Testing	Beta Testing
Internal testing	External testing
Controlled environment	Real environment
Performed before beta	Performed before final release

20. Testing Tools and Standards

A. Testing Tools

Definition

Testing tools automate software testing activities.

Popular Testing Tools

Tool	Purpose
Selenium	Web testing
JUnit	Java unit testing
TestNG	Automated testing
LoadRunner	Load testing

Advantages of Testing Tools

1. Faster testing
 2. Better accuracy
 3. Automated execution
-

B. Testing Standards

Definition

Testing standards define guidelines and best practices for software testing.

Common Standards

Standard	Purpose
IEEE 829	Test documentation
ISO 9126	Software quality
ISO/IEC 25010	Software quality model

Advantages of Standards

1. Improved quality
 2. Better documentation
 3. Standardized testing process
-

Conclusion

Software development and testing are critical phases of software engineering. Proper coding practices, documentation, and testing techniques ensure high-quality, reliable, and maintainable software. Functional testing, structural testing, debugging, and automated testing tools help identify defects and improve software performance before deployment.

Unit – 6: Software Maintenance, Reliability and Quality Management

1. Software Maintenance

Definition

Software Maintenance is the process of modifying, updating, and improving software after delivery to users.

Maintenance is necessary because:

- User requirements change
 - Errors are discovered
 - Technology evolves
-

Objectives of Software Maintenance

1. Correct software defects
 2. Improve performance
 3. Add new features
 4. Adapt software to new environments
-

Importance of Software Maintenance

- Ensures long-term usability
 - Increases software life
 - Improves customer satisfaction
-

2. Management of Maintenance

Definition

Maintenance management involves planning, organizing, controlling, and monitoring maintenance activities.

Responsibilities in Maintenance Management

1. Change management
 2. Resource allocation
 3. Cost control
 4. Quality assurance
 5. Documentation management
-

Maintenance Team Responsibilities

- Bug fixing
 - Updating software
 - Testing modifications
 - Supporting users
-

Challenges in Maintenance Management

1. Poor documentation
 2. Complex legacy systems
 3. Changing technologies
 4. Time constraints
-

3. Maintenance Process

Definition

The maintenance process is a sequence of activities performed to modify software after deployment.

Steps in Maintenance Process

1. Problem Identification

Finding errors or required changes.

2. Analysis

Studying the impact of changes.

3. Design Modification

Updating software design if required.

4. Coding

Implementing modifications.

5. Testing

Checking whether modifications work properly.

6. Deployment

Releasing updated software.

Maintenance Process Flow

*Problem Identification → Analysis → Design Modification → Coding → Testing
→ Deployment*

4. Types of Software Maintenance

1. Corrective Maintenance

Definition

Fixing software errors and bugs.

Example

Fixing login failure bug.

2. Adaptive Maintenance

Definition

Modifying software to work in a changed environment.

Example

Updating software for a new operating system.

3. Perfective Maintenance

Definition

Improving software performance or adding features.

Example

Adding dark mode feature.

4. Preventive Maintenance

Definition

Improving software to prevent future problems.

Example

Refactoring complex code.

Maintenance Distribution

Typical Software Maintenance Distribution

Approximate effort distribution among maintenance types.

Adaptive

Corrective

Perfective

Preventive

5. Maintenance Models

Definition

Maintenance models describe methods used to manage software maintenance activities.

Types of Maintenance Models

A. Quick-Fix Model

Definition

Directly modifies code without major documentation updates.

Advantages

- Fast solution

Disadvantages

- Poor maintainability
-

B. Iterative Enhancement Model

Definition

Software is improved gradually through repeated cycles.

Advantages

- Better quality
 - Easier maintenance
-

C. Reuse-Oriented Model

Definition

Uses reusable components during maintenance.

6. Regression Testing

Definition

Regression testing ensures that software modifications do not affect existing functionality.

Objectives

1. Verify old features still work
 2. Detect side effects of changes
-

Example

After adding payment functionality:

- Login module

- Registration module must still work correctly.
-

Advantages

- Improves reliability
 - Detects hidden bugs
-

Regression Testing Process

Code Modification → Retesting Existing Functions → Detect Side Effects

7. Reverse Engineering

Definition

Reverse Engineering is the process of analyzing existing software to understand its structure and functionality.

Objectives

1. Understand old systems
 2. Recover lost documentation
 3. Improve maintainability
-

Activities

- Code analysis
 - Design recovery
 - Documentation extraction
-

Advantages

- Better system understanding
 - Helps modernization
-

Disadvantages

- Time-consuming
 - Difficult for large systems
-

8. Software Reengineering

Definition

Software Reengineering is the process of modifying and improving existing software to make it better and easier to maintain.

Activities in Reengineering

1. Reverse engineering
 2. Code restructuring
 3. Data restructuring
 4. Forward engineering
-

Difference Between Reverse Engineering and Reengineering

Reverse Engineering	Reengineering
Understanding software	Improving software

Reverse Engineering Reengineering

No modification required Modification required

Reengineering Process

Existing Software → Reverse Engineering → Restructuring → Improved Software

Advantages of Reengineering

1. Reduced maintenance cost
 2. Improved quality
 3. Better performance
-

9. Configuration Management

Definition

Software Configuration Management (SCM) is the process of controlling and tracking software changes.

Objectives of SCM

1. Version control
 2. Change management
 3. Build management
 4. Release management
-

Activities of SCM

1. Configuration Identification

Identifying software components.

2. Version Control

Managing different software versions.

3. Change Control

Handling change requests.

4. Configuration Audit

Checking consistency of software products.

Popular SCM Tools

Tool	Purpose
Git	Version control
GitHub	Source code hosting
Subversion	Centralized version control

Advantages of SCM

1. Better teamwork
 2. Easy rollback
 3. Improved change tracking
-

10. Documentation

Definition

Documentation is written information describing software systems and processes.

Types of Documentation

A. User Documentation

Helps end users operate software.

Examples

- User manuals
 - Help guides
-

B. Technical Documentation

Helps developers maintain software.

Examples

- Design documents
 - Source code comments
-

Importance of Documentation

1. Easier maintenance
 2. Better understanding
 3. Improved communication
-

11. Software Reliability

Definition

Software Reliability is the probability that software works without failure for a specified period under specified conditions.

Characteristics of Reliable Software

1. Correctness
 2. Consistency
 3. Fault tolerance
 4. Stability
-

Factors Affecting Reliability

1. Software complexity
 2. Coding quality
 3. Testing quality
 4. User environment
-

12. Reliability Metrics

Definition

Reliability metrics measure software reliability quantitatively.

Important Reliability Metrics

1. Mean Time Between Failures (MTBF)

Definition

Average time between two failures.

Formula

$$MTBF = MTTF + MTTR$$

Where:

- MTTF = Mean Time To Failure
 - MTTR = Mean Time To Repair
-

2. Failure Rate**Formula**

$$\text{Failure Rate} = \frac{\text{Number of Failures}}{\text{Time}}$$

3. Availability**Formula**

$$\text{Availability} = \frac{MTTF}{MTTF + MTTR}$$

Reliability Metrics Comparison

Importance of Reliability Metrics

Relative importance of common software reliability measures.

02468MTBF Failure Rate Availability

13. Software Quality Management**Definition**

Software Quality Management (SQM) ensures that software products meet required quality standards.

Objectives of SQM

1. Improve software quality
 2. Reduce defects
 3. Increase customer satisfaction
 4. Ensure standard compliance
-

Activities of Quality Management

1. Quality Planning
 2. Quality Assurance
 3. Quality Control
 4. Continuous Improvement
-

14. ISO 9000**Definition**

International Organization for Standardization developed ISO 9000 standards for quality management systems.

Purpose of ISO 9000

- Ensure consistent quality
- Improve organizational processes

Features of ISO 9000

1. Process-oriented approach
 2. Customer focus
 3. Continuous improvement
 4. Documentation standards
-

Advantages of ISO 9000

1. Better quality management
 2. Increased customer trust
 3. Improved productivity
-

ISO 9000 Quality Cycle

Planning → Implementation → Monitoring → Improvement

15. SEI CMM (Capability Maturity Model)

Definition

SEI CMM is a framework developed by the Software Engineering Institute to measure software process maturity.

Objectives of CMM

1. Improve software process quality
 2. Standardize development practices
 3. Increase productivity
-

Five Levels of CMM

Level Name	Description
1 Initial	Unpredictable process
2 Repeatable	Basic project management
3 Defined	Standardized processes
4 Managed	Measured and controlled
5 Optimizing	Continuous improvement

CMM Maturity Progression

SEI CMM Maturity Levels

Progression of software process maturity levels.

0246Level 1Level 2Level 3Level 4Level 5

Advantages of CMM

1. Better software quality
 2. Reduced project risk
 3. Improved productivity
 4. Better process control
-

Difference Between ISO 9000 and CMM

ISO 9000

Quality standard

Applicable to all industries

Focus on quality system

CMM

Process maturity model

Mainly software industry

Focus on process improvement

Conclusion

Software maintenance and quality management are essential for long-term software success. Maintenance activities such as regression testing, reverse engineering, and reengineering improve software usability and lifespan. Reliability metrics, ISO 9000 standards, and SEI CMM help organizations achieve high-quality, reliable, and maintainable software systems.

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