

# Distributed Computing – Unit 1.0 (Detailed Notes)

## Distributed Database System (DDBS)

---

### 1. What is Distributed Database System (DDBS)?

A **Distributed Database System (DDBS)** is a collection of logically interrelated databases that are **distributed across multiple locations** but connected through a **computer network**.

- Data is stored at different sites (servers, nodes, data centers).
- Users can access data as if it is stored at a **single location**.
- The system manages **transparency, coordination, and data consistency**.

#### Definition

“A Distributed Database System is a database in which storage devices are not all attached to a common processor. Data is distributed across different physical locations and managed by a distributed DBMS.”

---

### 2. Features of Distributed Database Systems

1. **Distribution Transparency**  
Users do not need to know where the data actually resides.
2. **Replication**  
Copies of data may be stored at different sites to improve reliability and performance.
3. **Scalability**  
Easy to add new sites without affecting the overall system.
4. **Fault Tolerance**  
If one site fails, the system continues to work with replicated data.
5. **Improved Performance**  
Local queries run faster since data is available nearby.
6. **Heterogeneity Support**  
Systems can work with different hardware, software, or DBMS.
7. **Concurrency Control**  
Ensures correct results even when many users access data simultaneously.
8. **Security and Authorization**  
Access control is maintained across multiple sites.

---

### 3. Promises of DDBS (Advantages)

1. **Reliability & Availability**  
Failure of one site does not stop the entire system.
2. **Improved Performance**  
Local queries reduce response time.
3. **Modular Growth**  
New sites can be added easily without downtime.
4. **Lower Communication Cost**  
Data is stored closer to where it is used.
5. **Better Sharing of Data**  
Departments can share distributed data easily.
6. **Continuation of Service**  
Even during partial network failure, system keeps functioning.

---

### 4. Design Issues in Distributed Database System

Designing a DDBS is complex. Major issues include:

#### a) Fragmentation

Splitting a database into smaller logical units (fragments).

Types:

- Horizontal Fragmentation
- Vertical Fragmentation
- Hybrid Fragmentation

#### b) Replication

Maintaining multiple copies of data at different sites.

Replication Methods:

- Full Replication
- Partial Replication
- No Replication

### c) Allocation

Deciding where to place each fragment or replica.

### d) Query Processing

Optimizing distributed queries for efficiency.

### e) Concurrency Control

Ensuring consistency when multiple users update distributed data.

Methods:

- Distributed Two-Phase Locking (2PL)
- Timestamp Ordering

### f) Reliability & Fault Tolerance

Handling failures at sites, links, or network.

Techniques:

- Checkpointing
- Recovery Protocols

### g) Data Consistency

Ensuring all replicas hold the same values after updates.

### h) Security

Maintaining access control across all sites.

---

## 5. Distributed DBMS Architecture

There are **three main architectures**:

---

## 1. Client/Server Architecture

### Description

Database is stored on one or more **central servers**, and clients request data.

### Working

- **Client** → sends request
- **Server** → processes query and sends result

### Advantages

- Simple to implement
- Centralized control
- Better performance for small systems

### Disadvantages

- Server overload
  - Single point of failure
- 

## 2. Peer-to-Peer (P2P) Architecture

### Description

Every site (node) performs both **client and server roles**.

### Features

- Equal responsibility
- No central controller
- Better reliability

### Advantages

- No single point of failure
- Scalable system
- Supports distributed processing

## Disadvantages

- Complex concurrency control
  - Difficult to maintain global data consistency
- 

## 3. Multi-Database System (MDBS)

### Description

Combines multiple autonomous databases into a single system.

Each site:

- Has its own DBMS
- Manages its own data
- May follow different models (SQL, NoSQL, Oracle, etc.)

### Features

- No central control over data
- Sites cooperate to answer global queries

### Advantages

- Supports integration of different independent databases
- Flexible and highly scalable

### Disadvantages

- Very complex
  - Hard to maintain global schema
  - Data conflicts may occur
- 

## Common Formula (Copy Format)

### 1. Data Allocation Cost Formula

Total Cost = Storage Cost + Transfer Cost + Processing Cost

## 2. Query Response Time

Response Time = Local Processing Time + Communication Time

## 3. Replication Overhead

Replication Cost = (Number of Replicas - 1) × Update Cost

## 4. Reliability of Distributed System

Total Reliability = 1 - (Product of individual failure probabilities)

---



# Distributed Computing – Unit 2.0 (Detailed Notes)

## Distributed Database Design (DDD)

---

## 1. Distributed Database Design Concept

Distributed Database Design is the process of **structuring, fragmenting, replicating, and allocating** data across multiple sites in a distributed environment.

The main steps of distributed database design are:

1. **Database Fragmentation**
2. **Data Allocation (Placement of fragments)**
3. **Replication (If required)**
4. **Ensuring Transparency**

### Aim of Distributed Design

To design a database that is:

- Fast
- Reliable

- Always available
- Easy to expand
- Cost effective

Distributed database design plays a crucial role in determining how data will be stored, accessed, and maintained across multiple locations.

---

## 2. Objective of Data Distribution

The main objectives of distributing data are:

### 1. Improved Performance

- Data stored close to users reduces access time.
- Local processing is faster.

### 2. Reliability and Availability

- Failure of one site does not affect others.
- Replication increases fault tolerance.

### 3. Reduced Communication Cost

- Queries can be processed locally.
- Less data travels across the network.

### 4. Scalability / Growth

- New sites can be added easily.

### 5. Data Sharing

- Different departments can access relevant fragments.

### 6. Autonomy

- Each site can manage its own data and workload.
-

## 3. Data Fragmentation

Fragmentation is the process of **breaking a database into smaller logical pieces** (fragments). Each fragment can be stored at different sites.

### Goals of Fragmentation

- Improve performance
- Increase availability
- Reduce communication cost
- Support parallelism

### Types of Fragmentation

---

#### A. Horizontal Fragmentation

- Divides a table **row-wise**.
- Each fragment contains **some rows** of the table.
- Based on a **selection condition**.

#### Example

A “Customer” table may be split by region:

- Fragment 1 → Customers in North region
- Fragment 2 → Customers in South region

#### Formula

$R = R_1 \cup R_2 \cup R_3$   
(Each fragment contains different rows)

---

#### B. Vertical Fragmentation

- Divides a table **column-wise**.
- Each fragment contains **some columns** plus the **primary key** (to maintain reconstruction).



## Example

Employee table split as:

- F1: EmpID, Name, Address
- F2: EmpID, Salary, Department

## Formula

$R = R1 \bowtie R2$   
(Join using primary key)

---

## C. Mixed / Hybrid Fragmentation

Combination of **horizontal** + **vertical** fragmentation.

## Example

First split customers by region (horizontal),  
then split each fragment by columns (vertical).

---

## Correctness Rules for Fragmentation

A fragmentation is correct if:

1. **Completeness**  
All data must appear in some fragment.
  2. **Reconstruction**  
We must be able to rebuild the original table using fragments.
  3. **Disjointness**  
For horizontal: no overlapping rows  
For vertical: only primary key overlaps.
- 

## 4. Allocation of Fragments

Allocation means **deciding which site will store which fragment**.

Fragment allocation strategies include:

---

## 1. Centralized Allocation

All fragments stored at a **single central site**.

- Simple
- Not fault tolerant

---

## 2. Replicated Allocation

Fragments are stored at **multiple sites**.

### Advantages

- High availability
- Faster local queries

### Disadvantages

- Update cost increases

---

## 3. Partitioned Allocation

Fragments are distributed across many sites, but **without replication**.

### Advantages

- Low update cost
- Balanced load

### Disadvantages

- If one site fails, fragment becomes unavailable
-

## 4. Mixed Allocation

Combination of:

- Some fragments replicated
- Some stored at a single site

This is the **most commonly used** method in real systems.

---

### Fragment Allocation Formula (Copy Format)

#### Minimization of Total Allocation Cost

Total Cost = Storage Cost + Query Cost + Update Cost + Communication Cost

#### Query Processing Cost

Query Cost = Local Processing Cost + Remote Access Cost

---

## 5. Transparencies in Distributed Database Design

Transparency means **hiding the complexity of distributed data** from the users.

Main types:

---

### 1. Fragmentation Transparency

User does not know data is broken into fragments.

Example:

When user queries CUSTOMER table → system automatically collects data from all fragments.

---

### 2. Replication Transparency

User does not know multiple copies of data exist.

System decides:

- Which replica to read
  - Which replica to update
- 

### 3. Location Transparency

Users do not need to know **where** data is stored.

They use a global schema:

```
SELECT * FROM EMPLOYEE;
```

System fetches data from the correct site.

---

### 4. Local Mapping Transparency

Local DBMS may be different (Oracle, MySQL, SQL Server), but user sees a unified global view.

---

### 5. Distributed Query Transparency

User writes normal SQL, system handles:

- Fragment location
  - Communication
  - Optimization
- 

### 6. Transaction Transparency

Distributed transactions behave like:

- A single, unified transaction
- Even if they run across multiple sites

Uses:

- 2-phase commit protocol

- Locking protocols
- 

## 7. Performance Transparency

System automatically optimizes queries for:

- Minimum response time
  - Minimum network cost
- 

---

## Distributed Computing – Unit 3.0 (Detailed Notes)

### Distributed Transaction and Concurrency Control

---

## 1. Basic Concepts of Transaction Management

A **transaction** is a sequence of operations performed as a single logical unit of work.

Example:

```
BEGIN
  Transfer ₹1000 from Account A
  Add ₹1000 to Account B
END
```

### Properties of Transaction (ACID)

1. **Atomicity** – All operations succeed or none do.
2. **Consistency** – Database remains in a valid state.
3. **Isolation** – Parallel transactions do not interfere.
4. **Durability** – Results of committed transactions are permanent.

## Why Transaction Management?

- To maintain correctness
  - To avoid data loss
  - To handle multiple users accessing same data
  - To resolve conflicts and failures
- 

## 2. Objective of Distributed Transaction Management

Distributed transactions are executed across **multiple sites**, **multiple databases**, and **multiple servers**.

Main objectives:

### 1. Ensure Global ACID Properties

All participating sites must follow ACID.

### 2. Coordination

Coordinate execution across sites using protocols.

### 3. Failure Recovery

Handle:

- Site failure
- Network failure
- Communication failure

### 4. Atomic Commitment

Ensure all sites either **commit** or **rollback**.

### 5. Global Consistency

Every site must end in a consistent state.

## 6. Minimize Communication Cost

Reduce the network messages during transaction execution.

---

## 3. Model for Transaction Management

A distributed transaction management model consists of:

---

### 1. Local Transaction Manager (LTM)

- Present at each site
  - Manages local transactions
  - Maintains local logs
  - Handles local concurrency control
- 

### 2. Global Transaction Manager (GTM)

- Coordinates all participating sites
  - Controls global scheduling
  - Ensures global ACID properties
- 

## 3. Transaction Components

### a. Coordinator

Initiates the transaction, communicates with all participants.

### b. Participants / Cohorts

Execute transaction operations as instructed.

---

## 4. Commit Protocols

### i. Two-Phase Commit Protocol (2PC)

Used to ensure atomicity.

#### Phase 1: Voting Phase

- Coordinator → “PREPARE to commit?”
- Participants → “YES” or “NO”

#### Phase 2: Decision Phase

- If all say YES → Coordinator sends COMMIT
- If any say NO → Coordinator sends ABORT

### ii. Three-Phase Commit (3PC)

Improves over 2PC by eliminating blocking during failures.

---

## 4. Distributed Concurrency Control

Concurrency control ensures that **multiple transactions can run simultaneously without conflicts**.

Objectives:

### 1. Maintain Serializability

Execution should be equivalent to a serial order.

### 2. Avoid Conflicts

Avoid overlapping read/write operations that cause inconsistency.

### 3. Ensure Isolation

Each transaction works as if it is running alone.



## 4. Handle Distributed Environment Challenges

- Network delay
  - Site failures
  - Synchronization across sites
- 

## 5. Concurrency Control Anomalies

If concurrency control is not used, the following problems occur:

---

### 1. Lost Update Problem

Two transactions update the same data, and one update is overwritten.

**Example:**

T1 reads X → T2 reads X → T2 updates X → T1 updates X  
T2's update is lost.

---

### 2. Dirty Read Problem

A transaction reads uncommitted data from another transaction.

**Example:**

T1 updates X but does not commit → T2 reads that updated X → T1 rolls back → T2 has read wrong data.

---

### 3. Unrepeatable Read

A transaction reads the same data twice but gets different values.

---

## 4. Phantom Read

Query returns different sets of rows when executed twice due to updates/inserts.

---

## 6. Distributed Serializability

Distributed serializability ensures that transactions across multiple sites behave as if executed in **one global serial order**.

### Types of Serializability

1. **Conflict Serializability**  
Transactions do not conflict in order.
2. **View Serializability**  
Based on read/write views of transactions.

### Global Serialization Graph (SG)

Each site has a local serialization graph.  
Global SG = Union of all local SGs.

If global SG is **acyclic**, then schedule is **serializable**.

---

## 7. Locking Based Algorithms

Locking ensures transactions access data items in an exclusive or shared way.

---

### Types of Locks

#### 1. Shared Lock (S-lock)

Allows a transaction to read data.  
Multiple shared locks allowed.

## 2. Exclusive Lock (X-lock)

Allows a transaction to read & write data.  
Only one X-lock allowed.

---

## Two-Phase Locking (2PL) Protocol

Ensures conflict-serializability.

### Phase 1: Growing Phase

Transaction obtains locks, but **cannot release** any lock.

### Phase 2: Shrinking Phase

Transaction releases locks, but **cannot acquire** new ones.

### Guarantee:

Schedules will be conflict-serializable but may cause **deadlocks**.

---

## Distributed Locking Algorithms

### 1. Centralized Lock Manager

One site manages all locks.

**Advantage:** Simple

**Disadvantage:** Single point of failure

---

### 2. Primary Copy Locking

Each data item has a primary site for lock control.

---

### 3. Distributed (Fully Decentralized) Locking

Each site manages locks for its own data.

---

### 4. Majority Consensus Locking

Transaction needs locks from **majority of sites** before accessing data.

---

## Deadlock Handling

### Two Methods

1. **Deadlock Prevention**
    - Timestamp-based rules
    - Wound-Wait
    - Wait-Die
  2. **Deadlock Detection & Recovery**
    - Wait-for graph
    - Victim selection
    - Transaction rollback
- 

## Formula (Copy Format)

### 1. Serializability Condition

A schedule is serializable if Global Serialization Graph (SG) is acyclic.

### 2. Locking Rule (2PL)

Growing Phase: Acquire Locks  
Shrinking Phase: Release Locks  
(No overlap allowed)

### 3. Commit Protocol Rule

If all participants vote YES → Commit  
If any participant votes NO → Abort

---

---

# Distributed Computing – Unit 4.0 (Detailed Notes)

## Distributed Deadlock and Recovery

---

### 1. Introduction to Deadlock

A **deadlock** is a situation where two or more transactions wait indefinitely for each other to release resources, and none of them can proceed.

#### Example (Simple Deadlock)

```
T1 holds Lock on X      → waits for Lock on Y
T2 holds Lock on Y      → waits for Lock on X
```

Both transactions wait forever → **DEADLOCK**.

#### Conditions for Deadlock (All must hold)

1. **Mutual Exclusion** – Resource held by only one transaction.
  2. **Hold and Wait** – A transaction holds one resource and waits for another.
  3. **No Preemption** – Resources cannot be forcibly taken away.
  4. **Circular Wait** – Transactions form a waiting cycle.
- 

### 2. Distributed Deadlock

In distributed systems:

- Resources are stored at **multiple sites**
- Locks are handled by **local lock managers**
- Global waits occur across sites
- Deadlock detection becomes more complex

Example:

T1 at Site A waits for lock at Site B  
T2 at Site B waits for lock at Site A

---

## 3. Distributed Deadlock Handling Methods

Deadlock handling in distributed systems uses **three major techniques**:

---

### A. Deadlock Prevention

Deadlock is prevented by eliminating one of the four conditions.

Methods:

---

#### 1. Wound–Wait Scheme

- Based on **timestamps**
- If older transaction requests a lock held by a younger one → younger transaction is **wounded (rolled back)**
- If younger transaction requests lock from older one → younger **waits**

Advantage: No deadlock occurs

Disadvantage: Many rollbacks may occur

---

#### 2. Wait–Die Scheme

- Also timestamp-based
- If older transaction requests lock held by younger → older **waits**
- If younger requests lock held by older → younger **dies (rolled back)**

Both ensure **no circular wait** → deadlock cannot occur.

---

## B. Deadlock Avoidance

System checks for safe state **before granting a lock**.

### Concept:

- Transaction provides lock requirements in advance
- System checks if granting a lock leads to a **potential deadlock**
- If unsafe → lock is not granted

### Major Techniques:

1. **Resource Allocation Graph (RAG) Analysis**
2. **Safe State Check (similar to Banker's algorithm)**

### Limitation:

- Requires advance knowledge of resource needs
  - Difficult in distributed environment
- 

## C. Deadlock Detection

Deadlock detection is widely used in distributed systems.

System periodically checks for deadlocks using:

---

### 1. Wait-for Graph (WFG)

- Each transaction = node
- Edge  $T1 \rightarrow T2$  means  $T1$  waiting for  $T2$
- Cycle in WFG → **Deadlock**

### Distributed WFG

Each site keeps a local WFG.

Global WFG = Combination of all local WFGs.

---

## Deadlock Detection Algorithms

### 1. Path-Pushing Algorithm

Sites send dependency paths to central site → combines to detect cycles.

---

### 2. Edge-Chasing Algorithm

Uses special messages called **probes**:

(PROBE,  $T_i$ ,  $T_j$ ,  $T_k$ )

If probe returns to origin → deadlock exists.

---

### 3. Global State Detection

Snapshot of global lock state is evaluated to see if deadlock exists.

---

## 4. Deadlock Recovery

When a deadlock is detected, it must be resolved.

---

### Recovery Techniques

#### 1. Transaction Rollback (Victim Selection)

Pick a transaction to abort.

Criteria:

- Youngest transaction
- Minimum cost rollback
- Least progress made



---

## 2. Partial Rollback

Rollback only the last few operations instead of full rollback.

---

## 3. Resource Preemption

Take resource away from a transaction (rare in DBMS).

---

# 5. Two-Phase Commit Protocol (2PC)

2PC ensures **atomic commit** across all sites.

---

## Phase 1: Prepare / Voting Phase

Coordinator → “PREPARE to commit?”

Participants → “YES” (vote-commit) or “NO” (vote-abort)

---

## Phase 2: Commit / Abort Phase

If ALL vote YES → Coordinator sends COMMIT

If ANY votes NO → Coordinator sends ABORT

## Properties

- Guarantees atomic commitment
  - Can block if coordinator fails
-

## 6. Three-Phase Commit Protocol (3PC)

3PC improves 2PC by making the protocol **non-blocking**.

---

### Phase 1: Can Commit?

Coordinator → asks participants if they can commit.

---

### Phase 2: Pre-Commit

If all say YES → coordinator sends **PRE-COMMIT** message.  
Participants prepare to commit but do not commit yet.

---

### Phase 3: Do Commit

Coordinator sends **COMMIT** message.  
Participants commit.

### Advantages over 2PC

- Non-blocking
  - Handles coordinator failure better
  - Reduced waiting time
- 

## Comparison of 2PC vs 3PC

Feature	2PC	3PC
Phases	2	3
Blocking	Yes	No
Failure Handling	Weak	Strong

Feature	2PC	3PC
Performance	Faster	Slow but safe
Complexity	Simple	More complex

---

## FORMULAS (Copy Format)

### 1. Deadlock Condition

Deadlock exists if Wait-For Graph contains a cycle.

### 2. Commit Protocol Rule

IF all participants vote YES → COMMIT  
ELSE → ABORT

### 3. Circular Wait Condition

$T1 \rightarrow T2 \rightarrow T3 \rightarrow \dots \rightarrow Tn \rightarrow T1$  (Deadlock)

---

# Distributed Computing – Unit 5.0 (Detailed Notes)

## Distributed Query Processing and Optimization

---

### 1. Concepts of Distributed Query Processing (DQP)

Distributed Query Processing refers to the techniques and algorithms used to **execute database queries in a distributed database system**, where:

- Data is stored at **multiple sites**
- Network communication is required
- Local DBMS systems may differ

#### Goal of DQP

To process a query efficiently and return correct results **with minimum cost** (communication + computation).

#### Major Activities

1. **Decompose the global query**
  2. **Optimize the query plan**
  3. **Locate data fragments**
  4. **Execute operations at appropriate sites**
  5. **Assemble final result**
- 

### 2. Objectives of Distributed Query Processing

#### 1. Minimize Communication Cost

Communication is expensive in distributed systems.  
Reduce:

- Data transfer
- Message passing
- Network traffic

## 2. Improve Performance

Perform operations closer to data by using:

- Local processing
- Parallelism

## 3. Reduce Response Time

Execute operations in parallel at multiple sites.

## 4. Ensure Correctness

Distributed processing should produce the **same result** as centralized execution.

## 5. Handle Heterogeneous Systems

Different sites may use:

- Different DBMS
  - Different data models
  - Different hardware
- 

# 3. Phases of Distributed Query Processing

Distributed query processing occurs in **four major phases**:

---

## Phase 1: Query Decomposition

The global query is converted into algebraic expressions.

Steps:

- Parse SQL query
- Apply **query rewriting rules**
- Generate algebraic representation

### Example:

```
SELECT * FROM EMPLOYEE WHERE CITY = 'PATNA';
```

→ Selection + Projection + Scan operations

---

## Phase 2: Data Localization (Fragment Processing)

Convert the global query into **fragment queries** based on how data is distributed.

### Tasks:

- Identify **relevant fragments**
- Apply **fragmentation rules**
- Apply **localization rules**

### Example:

If EMPLOYEE table is horizontally fragmented:

- EMP1 (North Region)
- EMP2 (South Region)

Then query becomes:

```
SELECT * FROM EMP1 WHERE CITY='PATNA '  
UNION  
SELECT * FROM EMP2 WHERE CITY='PATNA '
```

---

## Phase 3: Global Optimization

Create the **best possible execution plan**.

Optimization techniques:

- Minimize communication
- Select optimal join order
- Reduce intermediate results
- Use semi-join if beneficial

---

## Phase 4: Local Optimization and Execution

Each local site optimizes its own sub-query.

Local optimization involves:

- Choosing best access path
- Using indexes
- Using sorting algorithms
- Using efficient join strategies

Results are sent back to the coordinator for final assembly.

---

## 4. Join Strategies in Fragment Relations

When relations are fragmented across sites, joins become challenging.

Below are the common distributed join strategies:

---

### A. Semi-Join Strategy

Reduces the amount of data transferred.

Steps:

1. Send projection of join attribute from Site A to Site B
2. Site B filters its relation
3. Send only matching tuples back to Site A
4. Final join at Site A

Benefit:

Minimum communication cost.

---

## B. Full Fragment Transfer

Transfer one full fragment to the site of the other fragment.

Example:

Send R1 to site of S1 and perform join.

Benefit:

Simple and fast for small fragments.

---

## C. Hybrid Join Strategy

Combination of semi-join + full transfer.

Uses:\*\*

- When fragments are too large
  - When selective filtering is possible
- 

## D. Bloom Join

Uses **Bloom filters** to reduce communication cost.

Steps:

1. Site A sends Bloom filter of join attributes to Site B
2. Site B filters tuples
3. Sends only possible matching tuples back



### Benefit:

Less data transferred than semi-join.

---

## E. Local Fragment Join

If required fragments are available locally at one site, perform join locally without communication.

---

## F. Repartition Join

Re-partition both relations by join key and send partitions to appropriate sites.

### Used when:

Data is evenly distributed.

---

## G. Broadcast Join

Broadcast smaller relation to all sites containing the larger relation.

### Used when:

One relation is very small.

---

## Table: Comparison of Join Strategies

Join Strategy	Communication Cost	Best Use Case
Semi-Join	Low	Selective joins, large tables

Join Strategy	Communication Cost	Best Use Case
Full Transfer	High	Small tables
Bloom Join	Medium-Low	Better than semi-join for large data
Local Join	Zero	All fragments at one site
Repartition Join	Medium	Distributed parallel join
Broadcast Join	Low/Medium	One relation very small

---

## 5. Global Query Optimization

Global optimization finds the **most efficient execution plan** for the entire distributed query.

### Goals:

- Reduce communication cost
- Reduce total response time
- Reduce resource usage

### Major Techniques Used

---

## 1. Rule-Based Optimization (RBO)

Uses transformation rules such as:

- Selection pushdown
- Projection pushdown
- Join reordering
- Join associativity
- Fragment elimination

Example rule:

$$\sigma(\text{condition})(R \bowtie S) = (\sigma(\text{condition})(R)) \bowtie S$$

---

## 2. Cost-Based Optimization (CBO)

Evaluates cost formulas and selects the lowest-cost plan.

### Cost Formula

Total Query Cost = Local Processing Cost + Communication Cost + Disk Access Cost

---

## 3. Heuristic Optimization

Uses general guidelines like:

- Perform selection early
  - Perform projection early
  - Use semi-joins
  - Minimize data movement
- 

## 4. Dynamic Optimization

Optimization decisions change at run-time based on:

- System load
  - Network performance
  - Available resources
- 

## Formulas

### 1. Cost of Distributed Query

Total Cost = Local Processing Cost + Communication Cost + Remote Access Cost

### 2. Semi-Join Formula

$R \bowtie S = R \bowtie (\pi_{JoinAttribute}(S))$

### 3. Serialization of Distributed Query

Global Optimization =  $\Sigma$  (Local Optimization + Communication Minimization)

# Unit-6.0: Heterogeneous Database (8 Hrs)

## 1. Architecture of Heterogeneous Database

A **Heterogeneous Database System (HDBS)** is a distributed database system where different sites use **different DBMSs, data models, query languages, schemas, and hardware platforms**.

### Key Characteristics

- Contains **multiple autonomous databases**.
- Databases may differ in:
  - Data Model (Relational, Object-oriented, Hierarchical etc.)
  - Query Language (SQL, OQL, Native languages)
  - Hardware/OS
  - Transaction Protocol
- Communication and coordination are done through a **middleware or mediator**.

### Basic Architecture

A heterogeneous system has **three main layers**:

#### *(A) Local Layer*

- Individual databases operate **independently**.
- Each DBMS maintains its own **local schema** and performs local queries.

#### *(B) Global Conceptual Layer / Federated Layer*

- Integrates all local schemas into a **Global Conceptual Schema (GCS)**.
- Provides a **uniform view** of all distributed databases.
- Acts as a communication bridge.

#### *(C) External/Application Layer*

- Represents how applications and users see the global database.
- User queries go to the GCS → translated → executed at local sites.

## Types of Heterogeneous Database Architectures

1. **Federated / Multidatabase System (MDBS)**
  - Local systems remain autonomous.

- Only partial sharing of data happens.
  - 2. **Client/Server Architecture**
    - Client issues global queries.
    - Server (mediator) decomposes queries and communicates with local DBMS.
  - 3. **Mediator–Wrapper Architecture**
    - Wrapper: Converts global queries into local DBMS-compatible format.
    - Mediator: Integrates results from all wrappers.
- 

## 2. Database Integration

Database integration means **combining multiple heterogeneous databases** into one unified system.

Integration is needed because:

- Organizations use multiple DBMS types.
- Need consistent global access to distributed data.
- Need a unified interface for decision making.

### Two Main Tasks of Database Integration

---

#### (A) Schema Translation

Converts schemas of different databases into a **common data model (CDM)**.

#### Steps in Schema Translation

1. Identify the **source DBMS type** (Relational, XML, Object DB etc.).
2. Map source model constructs to **CDM constructs**.
3. Convert:
  - Data types
  - Keys
  - Relationships
  - Constraints
4. Resolve semantic differences (meaning of data).

#### Example

- Object-oriented class → Relational Table
- XML structure → Relational or Object model

---

## (B) Schema Integration

Combines multiple translated schemas into a **single global schema**.

### Tasks in Schema Integration

1. **Schema Matching**

- Identify correspondences between elements of different schemas.
- Example:
  - *Customer\_ID* ↔ *CustID*

2. **Schema Merging**

- Combine matched elements into a single definition.

3. **Conflict Resolution**

Three types of conflicts must be resolved:

- **Naming Conflicts**
  - Same name, different meaning (Homonyms)
  - Different name, same meaning (Synonyms)
- **Structural Conflicts**
  - Different representations of the same concept.  
Example:
    - One DB stores "Address" as a single field; another uses multiple fields.
- **Data Type Conflicts**
  - Example: INT in one DB and VARCHAR in another.

4. Create **Global Conceptual Schema (GCS)**

- Final unified schema seen by the user.

---

## 3. Query Processing Issues in Heterogeneous Databases

Query processing in HDBS is **complex** because of differences in:

- Query languages
- Data models
- Local execution strategies
- Communication protocols

### Major Issues

---

## (A) Query Decomposition

- A global query must be **broken into sub-queries** for each local database.
  - Decomposition must consider:
    - Local schema
    - Data model differences
    - Local capabilities
- 

## (B) Query Translation

- Each sub-query must be translated to the **local DBMS query language**.
  - Example:
    - SQL query converted into OQL or a native API call.
- 

## (C) Metadata Conflicts

- Local sites may use different metadata formats.
  - Schema mismatches cause problems during query interpretation.
- 

## (D) Data Model Differences

- Relational results must be converted to object-oriented structures or vice versa.
- 

## (E) Execution Optimization

- Global optimizer must decide:
    - Which site to query first
    - Where joins should occur
    - How to minimize data transfer cost
  - Must consider:
    - Network latency
    - Site load
    - Local query optimizers' capabilities
-

## (F) Heterogeneous Transaction Management

- Distributed transactions involve multiple DBMSs.
  - Two-Phase Commit (2PC) may not work uniformly.
  - Recovery mechanisms differ across systems.
- 

## (G) Data Integrity and Consistency

- Different DBMSs may handle constraints differently.
  - Ensuring global consistency is a major challenge.
- 

## (H) Security Issues

- Each database may enforce different security policies.
  - The global system needs **uniform access rules**.
- 

## Summary (Copy Format)

Unit-6.0: Heterogeneous Database (8 Hrs)

### 1. Architecture of Heterogeneous Database

- Involves multiple autonomous databases using different DBMSs, data models, and languages.
- Layers: Local Layer, Global Conceptual Layer, External Layer.
- Architectures: Federated System, Client/Server, Mediator-Wrapper.

### 2. Database Integration

#### A. Schema Translation:

- Converts local schemas to a common data model.
- Handles data type, structure, and semantic conversions.

#### B. Schema Integration:

- Combines multiple schemas into a Global Conceptual Schema.
- Resolves naming, structural, and data type conflicts.

### 3. Query Processing Issues in Heterogeneous Database

- Query decomposition and translation.
- Metadata and data model differences.
- Global optimization and execution issues.
- Transaction management challenges.
- Ensuring consistency and security.